Theodore R. Brown, M.D., MPH, MS Center at EvergreenHealth, Kirkland, WA, USA and Virginia I. Simnad, M.D., M.Sc., MS Center at EvergreenHealth, Kirkland, WA, USA

# **Pilot Investigation of the Effects of Laughter Therapy on** Mood, Stress, and Self-Efficacy in People with Multiple Sclerosis and Other Central Nervous System Disorders

## BACKGROUND

- . Group exercises may provide benefits for mood disorders in MS and other neurological conditions
- Laughter therapy (LT, similar to laughter yoga) combines laughter with breathing and body exercises in a class setting. LT may give health benefits through strengthening of breathing muscles, improving mood, and relieving pain and stress.
- . After pilot testing a group-based LT class for one year, we conducted the first prospective open-label trial of LT in patients with central nervous system (CNS) disorders, including MS.

## **OBJECTIVES**

To assess the effects of an 8-week LT program on depression and anxiety as measured by the PHQ-9 and GAD-7, and on other wellness measures in a CNS disorder population.

## **METHODS**

- Open-label, uncontrolled trial with pre and post testing of a cohort including people with MS (PWMS) and other CNS disorders (N=24).
- Timeline: 1) Screening/randomization 2) Laughter therapy (start < 90 days post screening) 8 week intervention 3) Post-treatment follow-up 8 weeks postintervention.
- Outcomes: Questionnaires administered at baseline, end of treatment (Week 8) and at 8-week follow-up (Week 16). Co-primary outcomes were PHQ-9 and GAD-7 at 8 weeks. Additional outcomes were General Self-Efficacy Scale (GSE), Breathlessness Questionnaire (BQ), Modified Fatigue Impact 5-item Scale (MFIS-5), and Perceived Stress Scale (PSS-10). Disability measured by the Mental Disabilities Rating Scale (MDRS) and Physical Disabilities Rating Scale (PDRS).
- Analyses were conducted with per-protocol analysis.

Tahle 1 Fliaihility criteria

וטג	e I. Liigibiiity tiiteilu				
	Inclusion criteria	Exclusion criteria			
	<ul> <li>Diagnosis based on medical record review of one of the following neurological diseases: Alzheimer's disease, amyotrophic lateral sclerosis, brain injury, Huntington's Disease, multiple sclerosis, Parkinson's Disease, post-</li> </ul>	<ul> <li>Females who are pregnant</li> <li>Unstable medical condition</li> <li>Severe cognitive deficits</li> <li>Severe abdominal pain, chest pain or back pain</li> </ul>			
	<ul><li>stroke, spinal cord injury.</li><li>Age &gt; 18</li></ul>	<ul> <li>Abdominal, chest or back surgery within 90 days</li> <li>Psychosis or severe mental illness</li> </ul>			
	<ul> <li>Medically stable for at least 2 months</li> <li>Not participating in Laughter therapy for 30 days prior to</li> </ul>	<ul><li>Untreated hernia</li><li>Persistent cough</li></ul>			
	screening	<ul> <li>Advanced hemorrhoids</li> <li>Epilepsy</li> <li>Uncontrolled Hypertension – SBP &gt;170 or DBP &gt;105</li> </ul>			

## INTERVENTION

- Participants received 8 weekly classes of LT in groups of 8-12.
- . 60-minute classes were led by a certified LT instructor with over 5 years of experience.
- The classes involved 10 or more activities involving laughter. Examples included playacting (mixing a cocktail of laughter, making an "evil laugh") and combining simulated laughter with arm or body movements. All activities done seated. Activities were interspersed with conversation aimed toward maintaining a peaceful, positive attitude. See Figure 1 and 2.

Figure 1. LT group activity. The instructor, 2nd from left, leading a laughter exercise intermixed with round-table conversation.



### Figure 2. Group laughter, including upper limb activity, stretching and deep breathing.

iteria
pain or back pain
gery within 90 days



## RESULTS

Informed consents were signed by 30 subjects. Protocol adherence criteria (at least 4 sessions attended) were met by 14 subjects. The other 16 subjects terminated early or did not attend any sessions.

- . Baseline Demographics and Subject Characteristics
- Outcomes
- . The descriptive statistics for co-primary efficacy endpoints and secondary efficacy endpoints are presented in Table 2.1 and Table 3.1 respectively. Statistical analyses are shown in Tables 3.1 and 3.2, respectively.
- . For PHQ-9, there were non-significant improvements at Week 8 and Week 16.
- . For GAD-7, there was no change at either endpoint.
- . For MFIS, a near significant reduction was found at Week 8 (-1.71, p = 0.056). This did not carry-over at Week 16.
- . For PSS, a non-significant reduction at Week 8 became significant at Week 16.
- . For Patient Global Impression, there was a significant change (improvement) at Week DISCUSSION
- 16 vs baseline and between Week 16 and Week 8. . Adverse Events (AEs)
- One moderate AE that was possibly related to treatment was recorded: elevated blood pressure in a patient with Parkinson's Disease.

Table 1.1. Ba Subje	seline Demographics ect Characteristics All Subjects	s and	Table 1.2. Baseline Demographics and Subject Characteristics         Per-Protocol Subjects				
		Total (N=30)			Total (N=14)		
Age	Ν	30	Age	Ν	14		
	Mean (SD)	63.17 (10.64)		Mean (SD)	62.29 (7.95)		
	Median	63		Median	60		
	Min, Max	45, 92		Min, Max	53, 76		
Gender	Ν	30	Gender	Ν	14		
	F	21 (70%)		F	10 (71.4%)		
	Μ	9 (30%)		Μ	4 (28.6%)		
Diagnosis	Ν	30	Diagnosis	Ν	14		
	ALS	1 (3.3%)	<b>C</b>	ALS	1 (7.1%)		
	Alzheimer	1 (3.3%)		HD	1 (7.1%)		
	HD	1 (3.3%)		MS	8 (57.1%)		
	MS	17 (56.7%)		PD	3 (21.4%)		
	PD	7 (23.3%)		Spinal Cord Injury	1 (7.1%)		
	Spinal Cord Injury	2 (6.7%)					
	Stroke	1 (3.3%)	Mental Disability	Ν	14		
			Rating Scale (MDRS)	None (0)	4 (28.6%)		
Mental Disability	Ν	19		Mild (1)	7 (50%)		
Rating Scale (MDRS)	None (0)	4 (21.1%)		Moderate (2)	3 (21.4%)		
	Mild (1)	10 (52.6%)					
	Moderate (2)	5 (26.3%)	Physical Disability	Ν	14		
	<b>.</b> .		Rating Scale (PDRS)	None (0)	2 (14.3%)		
Physical Disability	N (2)	21		Mild (1)	4 (28.6%)		
Rating Scale (PDRS)	None (0)	2 (9.5%)		Moderate (2)	5 (35.7%)		
	Mild (1)	7 (33.3%)		Severe (3)	3 (21.4%)		
	Moderate (2)	6 (28.6%)					
	Severe (3)	6 (28 6%)					

See Table 1.1 for all subjects and in Table 1.2 for per-protocol subjects.

### Table 2.1. Summary of Co-Primary Efficacy Measures **Per-Protocol Subjects**

		Baseline (N=14)	Weeł (N=1
PHQ-9	Ν	14	14
	Mean (SD)	6.5 (4.01)	4.93 (3
	Median	6	5.5
	Min, Max	0, 13	0, 1
GAD-7	Ν	14	14
	Mean (SD)	2.79 (4.08)	2.79 (3
	Median	1.5	1
	Min, Max	0, 14	0, 9

### Table 3.1. Summary of Secondary Efficacy Measures **Per-Protocol Subjects**

		Baseline (N=14)	Week 8 (N=14)	Week 16 (N=14)				Mean Difference	
General Efficacy	Ν	14	14	14		Comparison	N	(95% CI)	p-value
Scale (GSE)	Mean (SD)	30.5 (6.21)	32.79 (4.74)	32.29 (5.09)	General Efficacy	Week 8 vs. Baseline	14	2.29 (-0.82, 5.39)	0.136
	Median	31	32.5	32	Scale (GSE)	Week 16 vs. Baseline	14	1.79 (-1.98 <i>,</i> 5.56)	0.325
	Min, Max	14, 38	25, 39	21, 40		Week 16 vs. Week 8	14	-0.5 (-3.5, 2.5)	0.725
Breathless	Ν	14	14	14	Duesthiese	Mask Que Deseline	1 1	0 ( 2 22 2 2 22)	4
Questionnaire	Mean (SD)	2.14 (2.8)	2.14 (2.82)	2.5 (3.03)	Breathless	Week & vs. Baseline	14	0 (-2.23, 2.23)	1
(BQ)	Median	1.5	1.5	0.5	Questionnaire	Week 16 vs. Baseline	14	0.36 (-1.1, 1.82)	0.606
	Min, Max	0, 10	0, 9	0, 8	(BQ)	Week 16 vs. Week 8	14	0.36 (-1.76, 2.48)	0.722
Modified Fatigue	N	14	14	14	Modified Estimue	Wook 8 vs. Rasolino	11	1 71 ( 2 40 0 06)	0 057
Impact Scale-5	Mean (SD)	9.86 (3.86)	8.14 (4.33)	10.57 (4.5)		Week o vs. Daseline	14	-1.71(-3.49, 0.00)	0.037
Item (MFIS-5)	Median	10.5	8.5	11	Impact Scale-5	Week 16 vs. Baseline	14	0.71 (-1.19, 2.61)	0.431
	Min, Max	1, 14	0, 13	0, 18	Item (MFIS-5)	Week 16 vs. Week 8	14	2.43 (-0.06, 4.92)	0.055
Perceived Stress	N	14	14	14	Perceived Stress	Week 8 vs. Baseline	14	-2.57 (-5.63, 0.49)	0.093
Scale (PSS-10)	Mean (SD)	16.29 (5.55)	13./1 (6.23)	13.36 (7.41)	Scale (PSS-10)	Week 16 vs. Baseline	14	-2 93 (-5 78 -0 08)	0.045
		17 5 26	11.5 7 20	14.5	Scale (1 55 10)	Wook 16 vs. Wook 9	1/	2.55(5.70, 0.00)	0.045
	IVIII, IVIdX	5,20	7,20	5, 20		Week 10 vs. Week o	14	-0.30 (-2.81, 2.1)	0.758
Geriatric	N Maan (SD)	14	14	13	Geriatric	Week 8 vs. Baseline	14	-0.14 (-1.54, 1.25)	0.828
Depression scale	Modian	4.14 (4.05) 2 5	4 (3.20)	2.02 (2.33) 2	Depression Scale	Week 16 vs. Baseline	13	-0.77 (-1.88, 0.34)	0.156
	Min May	5.5 0 1/	0.11	07	·	Week 16 vs. Week 8	13	-0.85 (-1.97, 0.28)	0.128
		0, 14	0, 11	0, 7			10	0.00 ( 2.07, 0.20)	01120
Patient Global	N Maara (CD)	14	14	14	Patient Global	Week 8 vs. Baseline	14	0.64 (-0.13, 1.41)	0.095
impression	Wedian	3.43 (1.34) 2 c	4.U7 (U.83) 1	4.57 (U.51) E	Impression	Week 16 vs. Baseline	14	1.14 (0.4, 1.89)	0.006
	Min. Max	0.5	4 2.5	4.5		Week 16 vs. Week 8	14	0.5 (0.06, 0.94)	0.029

- change was found on either of these primary outcomes.

- while others lost interest.
- . LT was well-tolerated amongst those who attended.

## Limitations

This was an uncontrolled, open-label trial. Subject numbers were small, including a minority with non-MS disorders of the CNS.

## Conclusions

This pilot study found that laughter therapy did not alter mood, but yielded improvements on some aspects of well-being amongst PWMS and other CNS conditions. Drop-outs were greater than seen with more conventional exercise classes in a neurological population. LT may be well-tolerated and applicable for a variety of neurological patients, including those with severe disability. Further work is needed to identify the proper "dosing" and administration of laughter therapy, including varying the content, duration, frequency and setting of LT classes.

## Acknowledgements

This study was funded by a grant from EvergreenHealth Foundation. We thank Canan Akture, Gowri Rajendran and Julie Plaut-Warwick for their contributions.

## **CA04 Presented** at the **Consortium of Multiple Sclerosis Centers**

May 30<sup>th</sup> - June 2<sup>nd</sup>, 2018 Nashville, TN, USA

Table 2.2. Analysis of Co-Primary Efficacy Measures **Per-Protocol Subjects** Week 16 Mean Difference k 8 (95% CI) (N=14) Comparison Ν p-value Week 8 vs. Baseline 14 -1.57 (-3.72, 0,57) 0.138 14 PHQ-9 (3.1) 6.07 (4.27) Week 16 vs. Baseline 14 -0.43 (-2.84, 1.99) 0.708 6.5 Week 16 vs. Week 8 14 1.14 (-0.96, 3.24) 0.26 0, 16 0 (-1.69, 1.69) GAD-7 Week 8 vs. Baseline 14 14 3.21) 3.64 (3.82) Week 16 vs. Baseline 14 0.86 (-1.31, 3.03) 0.409 2.5 Week 16 vs. Week 8 14 0.86 (-1.38, 3.1) 0.423 0, 13

> Table 3.2. Analysis of Secondary Efficacy Measures **Per-Protocol Subjects**

• This population was not selected for either anxiety or depression and no significant

 Significant improvements were found on patient global impression and on perceived stress and a near significant reduction was found for fatigue. This suggests that LT may improve some aspects of well-being in neurological populations.

. The drop-out rate was >50%, and about equal amongst patients with and without MS. Most participants who dropped out never attended a single LT session. This may have been due to long screening period (up to 90 days) and limited enthusiasm. Dropouts also occurred after one or more sessions, sometimes due to patients feeling that the LT class was too hard or made them feel "out of their comfort zone."

· Participant comments indicated that for some participants, LT improved their outlook,